

patent application serial no.

09/868606

*Department of Commerce
Patent and Trademark Office
for record*

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01 FC:970	860.00 DP
02 FC:966	90.00 DP



PCT

JC17 Rec'd PCT/PTO 18 JUN 2001

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		4117 US
INTERNATIONAL APPLICATION NO. PCT/EP99/09885		U.S. APPLICATION NO. (if known, see 37 CFR 1.55) 09/868606
INTERNATIONAL FILING DATE December 14, 1999		PRIORITY DATE CLAIMED December 23, 1998
TITLE OF INVENTION MULTIPOLE ELECTRIC MOTOR AND PROCESS FOR ITS PRODUCTION		
APPLICANT(S) FOR DO/EO/US Heinrich-Jochen Blume, et al		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). (PCT/TPHA/401)		
4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. /		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).		
b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. (PCT/IB/308)		
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). (11 pages including abstract)		
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).		
b. <input type="checkbox"/> have been transmitted by the International Bureau.		
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.		
d. <input type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (3 pages)		
10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11. to 16. below concern document(s) or information included:		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, PTO-1449, and copy (EPO) International Search Report - 3 pages in English listing references and their relevance, and 2 references from German Patent Office Action.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. *Enter Preliminary Amendment before calculating claim fees		
<input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.		
14. <input type="checkbox"/> A substitute specification.		
15. <input type="checkbox"/> A change of power of attorney and/or address letter.		
16. <input checked="" type="checkbox"/> Other items or information: WO 00/39909 (cover page)		
17. <input checked="" type="checkbox"/> PCT/TPHA/401		
18. <input checked="" type="checkbox"/> PCT/IB/308		
19. <input checked="" type="checkbox"/> CLAIM IS HEREBY MADE OF THE BENEFIT OF THE FILING DATE OF German Patent Application 198 60 111.5 filed December 23, 1998 UNDER USC 119		
20. <input checked="" type="checkbox"/> Express Mail mailing label No. EJ450234769US deposited June 18, 2001		

U.S. APPLICATION NO. 097/868606		INTERNATIONAL APPLICATION NO. PCT/EP99/09885		ATTORNEY'S DOCKET NUMBER 4117 US															
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY															
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00																			
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 860.00															
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$															
<table border="1"> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> <tr> <td>Total claims</td> <td>25 - 20 =</td> <td>5</td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>X \$78.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>* + \$260.00</td> </tr> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	25 - 20 =	5	X \$18.00	Independent claims	2 - 3 =	0	X \$78.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			* + \$260.00	\$ 90.00 \$ 0 \$ 0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																
Total claims	25 - 20 =	5	X \$18.00																
Independent claims	2 - 3 =	0	X \$78.00																
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			* + \$260.00																
TOTAL OF ABOVE CALCULATIONS =				\$ 950.00															
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$															
SUBTOTAL =				\$ 950.00															
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$															
TOTAL NATIONAL FEE =				\$ 950.00															
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$															
TOTAL FEES ENCLOSED =				\$ 950.00															
				Amount to be:	\$														
				refunded															
				charged	\$														
a. <input checked="" type="checkbox"/> A check for the amount of \$950.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0105 . A duplicate copy of this sheet is enclosed.																			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. *Enter Preliminary Amendment before calculating claim fees																			
SEND ALL CORRESPONDENCE TO: MARTIN A. FARBBER 866 United Nations Plaza, Suite 473 New York, NY 10017 Tel (212) 758-2878 Fax (212) 758-2913				SIGNATURE: _____ MARTIN A. FARBBER NAME Reg. No. 22,345 REGISTRATION NUMBER															